

**POWER OF ATTORNEY
BY ASSIGNEE**

Attorney Docket

0913/061US1 AERX - 021

First Named Inventor

Gonda, et al.

Application Number

09/330,903

Filing Date

June 11, 1999

Examiner Name (Group Art Unit)

N/A

Title: Methods of Delivering Aerosolized Polynucleotides to the
Respiratory Tract

Aradigm Corporation, assignee of the above-identified application by assignment dated September 10, 1999
hereby appoint: and September 22, 1999

BOZICEVIC, FIELD & FRANCIS LLP

Name	Registration No.	Name	Registration No.
Karl Bozicevic	28,807	Pamela J. Sherwood	36,677
Bret E. Field	37,620	Dianna L. DeVore	42,484
Carol L. Francis	36,513	Paula A. Borden	42,344
Renee A. Fitts	35,136		

as its attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent
and Trademark Office connected therewith.


DIRECT ALL CORRESPONDENCE TO:

Individual Name	Carol L. Francis		
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
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SIGNATURE of Assignee of Record

In accordance with 37 CFR §3.73(b) I hereby certify that I am empowered to act on behalf of the Assignee of the above-
identified patent application. The original Assignment is concurrently being forwarded to the Assignment Branch of
the U.S. Patent Office for recordation.

I declare that these statements are made with the knowledge that willful false statements and the like so made are
punishable by fine or imprisonment, or both, under Title 18, United States Code §1001 and that such willful false
statements may jeopardize the validity of the above referenced application or any patent resulting from that application.

Name and Company	Reid M. Rubsamen, Aradigm Corporation		
Title	Vice President		
Signature		Date	10/2/99

**STATEMENT CLAIMING
SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27 (c))
SMALL BUSINESS CONCERN**

Attorney Docket	65137001081 AERX - 021
First Named Inventor	Gonda, et al.
Application Number	09/330,903
Filing Date	June 11, 1999
Title	Methods of Delivering

I hereby state that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: Aradigm Corporation
ADDRESS OF SMALL BUSINESS CONCERN: 26219 Eden Landing Road
Hayward, California 94545

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 37 CFR 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or by a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

NAME: N/A

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

SIGNATURE

NAME OF PERSON SIGNING: Reid M. Rubsam

TITLE IN ORGANIZATION OF PERSON SIGNING: Vice President

ADDRESS OF PERSON SIGNING: 26219 Eden Landing Road, Fremont CA 94545

DATE:

10/2/99